



**NCAA COMPLIANCE OVERVIEW**  
**ALUMNI CLUB AND SOCIETY LEADERSHIP SYMPOSIUM**  
**FRIDAY, SEPTEMBER 6, 2019**

# Introduction

**The Ohio State University** is proud to have your loyal support, dedication, and enthusiasm for Buckeye Athletics. As we strive for continued excellence, we always seek the highest standard of ethical conduct and compliance with all NCAA, Big Ten Conference, and institutional rules. In helping the Ohio State Department of Athletics maintain its commitment to excellence, we are asking for your assistance in continuing to build a compliance conscience.



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# Recruiting Terms: Prospective Student-Athlete

- Prospect includes any individual who has started classes in the 9th grade.
  - 7th grade in Men's Basketball & Softball.
- Does NOT matter whether the individual participates in athletics.
- A student remains a prospect until he/she has signed a National Letter of Intent, Big Ten Tender or submitted an admissions deposit.
  - Verbally committing to Ohio State does NOT remove the status of "prospect."



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# Recruiting Terms (Continued)

- Evaluation: an activity by a coach to assess your academic or athletic ability.
  - Example: Coach watches prospect's high school game or practice.
- Contact: a face-to-face exchange with a prospect, prospect's parents or legal guardians with an institution's coach.
  - Example: Coach talks to prospect about his/her institution after game or practice.



# Recruiting Do's and Do NOTS (Continued)

- **THE DO NOTS...**
- DO NOT contact or call a prospect for a recruiting purpose or to encourage the prospect's enrollment at Ohio State.
- DO NOT assist with the evaluation of athletic talent at the direction of the Ohio State coaching staff.



# Recruiting Do's and Do NOTS (Continued)

- **MORE DO NOTS...**
- DO NOT contact a prospect's coach, principal or counselor for the purpose of evaluating the prospect.
- DO NOT entertain, or provide tickets, gifts or other benefits to high school, preparatory school or two-year college coaches at any time.
- DO NOT reimburse these coaches for expenses incurred in transporting a prospect to visit Ohio State.



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# Recruiting Do's and Do NOTS (Continued)

- **THE DO'S...**
- Notifying the OSU coaching staff of outstanding prospects.
- Viewing a prospect's game on your own initiative.
- Continuing to have contact with an established family, friend or neighbor who is a prospect.
- Receiving a call from a prospect only if the prospect initiates the call and it is NOT for recruiting purposes.



# Extra Benefits

- An extra benefit is any gift, service, or arrangement provided by a booster or OSU staff member to a student-athlete or their friends or family, that is NOT available to the general student body. There is NO minimum value for an extra benefit.
- Extra benefits apply “from cradle to grave.” Therefore, it is NOT permissible for you to provide an extra benefit to a student-athlete even after he/she has exhausted his/her eligibility.





# Extra Benefits

- Examples of Extra Benefits include, but are NOT limited to:
  - Vehicle or the use of a vehicle;
  - Payment for or arrangement of transportation costs;
  - Cash or any type of gift, including special discounts or privileges;
  - Free or reduced-cost housing;
  - Gifts of any kind including on special occasions such as birthdays, Christmas, finals week, etc.;
  - Free or reduced cost services, rentals, or purchases of any type (e.g., meals, drinks, clothing, laundry, haircuts, legal fees, tattoos, car repairs, etc.).



# EXTRA BENEFITS VIOLATIONS CONSEQUENCES

- Student-athlete will be ineligible to compete.
- We may be able to request reinstatement of eligibility, but the student-athlete could still be withheld from competition. There are NO guarantees eligibility will be reinstated.
- Negative publicity for the student-athlete, their family, their team, and **The Ohio State University**.



# Prospects at Alumni Association Events

- Please do NOT invite selected high school prospects to alumni events. NCAA rules prohibit contact between alumni and boosters at off-campus sites. Alumni Association groups are permitted to entertain prospects under certain conditions that do NOT involve singling out athletes for special treatment.
  - For example, it is permissible for alumni association groups to invite all high school seniors who have been accepted for enrollment at an alumni event.



# Student-Athlete Employment

- In order to be employed and avoid any potential NCAA rules violations, current student-athletes must:
  - Be paid for work actually performed;
  - Be paid at the going rate; and
  - NOT be paid for any value or utility has for employer due to status as a student-athlete.
- Must register the job with the Compliance Office each term (summer, fall, spring).
- Must submit Earnings Verification to the Compliance Office.
- Student-athletes may NOT perform casual labor (i.e., babysitting, housesitting, pet sitting, etc.).



# Awards & Memorabilia

- You may NOT purchase any item that belongs to a current student-athlete or is signed by a current student-athlete. In addition, you may NOT trade an item or service for any item that belongs to a current student-athlete or is signed by a current student-athlete.
- If a current student-athlete attempts to sell any of his or her personal items to you, his or her eligibility will be affected. This includes the purchase of a student-athlete's apparel, equipment, gear, awards (Final Four rings, Big Ten rings, etc.), shoes, etc.



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# Occasional Meals

- You may provide a meal to a student-athlete on an occasional basis provided the meal is approved by the Compliance Office before the meal occurs.
- The meal may only be provided in an individual's home, on campus or at a facility that is regularly used for home competition.
- If you wish to host an occasional meal, please contact the Compliance Office to ensure that all appropriate documentation is submitted and approved prior to the meal.



# Promotional Activities

- A student-athlete must receive approval from the Compliance Office prior to participating in any promotional activity/community outreach.
- A student-athlete may NOT receive any compensation, gifts, or expenses other than actual expenses (e.g., meals, transportation, etc.) incurred from attending the event. All expenses must be approved by the Compliance Office prior to the activity taking place.
- A student-athlete's name, picture, or appearance may NOT be used to promote the commercial ventures of any organization.
- All money derived from the event must go directly to the charitable/nonprofit organization.



# Impermissible Promotional Activities

- With the notoriety surrounding Ohio State Athletics in the local community and nationally, there may be organizations and individuals that wish to capitalize on the name, image, or likeness of a student-athlete.
- If you become aware of someone using a current student-athletes name, image, or likeness to sell a product or service, please contact the Compliance Office so that we can take the required action.



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# Complimentary Admissions

- It is NOT permissible for you to receive a complimentary admission (i.e. ticket) from an Ohio State student-athlete.
- It is also NOT permissible for you to pay for a complimentary admission from an Ohio State student-athlete. Such a sale is an extra benefit and will jeopardize the student-athlete's eligibility.



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# Coach Appearance Requests

- Requirements for Coach Appearance:
  - Coach Appearance Request Form must be completed in advance;
  - Limitations in place if prospects in attendance at speaking event:
    - Event CANNOT be conducted by **The Ohio State University**;
    - Coach CANNOT make a recruiting presentation;
    - Coach CANNOT have direct contact with prospects;
    - Coach CANNOT evaluate prospects; and
    - Event CANNOT be held during an NCAA Recruiting Calendar “Dead Period.”



# Institutional Control

- The NCAA requires that each institution be responsible for controlling its intercollegiate athletics program in compliance with the rules and regulations of the NCAA.
- **The Ohio State University** is responsible for ensuring that members of the faculty and staff, coaches, student-athletes, alumni, donors, boosters and friends comply with all applicable NCAA rules.



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# Institutional Control Violation Consequences

- Disassociation
  - Any individual who has engaged in conduct that is determined to be a violation of any NCAA, Big Ten or institutional rule will be subject to the revocation or withholding of any athletically-related benefit or privilege received by that individual from the University.
- Prospect or Student-Athlete Ineligibility
  - If you or a member of the Athletics Department staff violates a rule involving a prospect or an enrolled student-athlete, then that prospect or student-athlete could lose all eligibility for intercollegiate athletics participation.



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# REPORTING SUSPECTED NCAA VIOLATIONS

- **The Ohio State University** has EthicsPoint to provide the public with ways to anonymously and confidentially report activities that may involve violations of NCAA regulations by Ohio State's coaches, staff, student-athletes, or boosters. Reports may be filed through the EthicsPoint website link (<http://www.ohiostatebuckeyes.com/compliance/>) or by calling EthicsPoint toll-free at **866-384-4277**.
- **The Ohio State University** guarantees that reports submitted via EthicsPoint will be handled promptly and discreetly. NO retaliatory action will be taken against anyone for reporting or inquiring in good faith about potential violations of NCAA regulations.

Or you may contact the Compliance Office.



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# QUESTIONS????

- **If you have any questions later on, please feel free to contact the Compliance office.**



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